



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Whitman et al.

Serial No.: 09/996,423

Filed: November 28, 2001

For: SPIN COATING FOR MAXIMUM
FILL CHARACTERISTIC YIELDING A
PLANARIZED THIN FILM SURFACE

Confirmation No.: 2810

Examiner: T. Pham

Group Art Unit: 2823

Attorney Docket No.: 2269-4294.3US
(98-1208.03/US)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 21, 2004
Date

Signature

Deidra Pfeil
Name (Type/Print)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as

Serial No. «Patent Application No»

defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56 (b) exists.

U.S. Patent Documents

U.S. Patent No.

Publication Date

Patentee

US - 6,251,487

June 26, 2001

Yonaha

Other Documents

Wolf et al., Silicon Processing for the VLSI Era, Volume 1, Process Technology, pages 429-434.

Applicant offers to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

This Supplemental Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits.

Serial No. «Patent Application No»

I hereby certify that no item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the statement, and therefore no fee is due.

Respectfully submitted,



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Date: January 21, 2004
BGP/djp

Enclosures: Form PTO-1449 or PTO/SB/08
Copy of documents cited

Document in ProLaw

JAN 26 2004

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of

<i>Complete if Known</i>	
Application Number	09/996,423
Filing Date	November 28, 2001
First Named Inventor	Whitman et al.
Group Art Unit	2823
Examiner Name	T. Pham
Attorney Docket Number	2269-4294.3US (98-1208.03/US)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

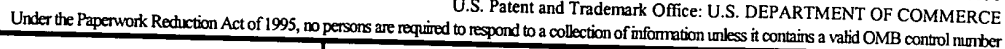
[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known

Application Number	09/996,423
Filing Date	November 28, 2001
First Named Inventor	Whitman et al.
Group Art Unit	2823
Examiner Name	T. Pham
Attorney Docket Number	2269-4294 311S (98)

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

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